

Generalized Frobenius formula and asymptotics of characters of symmetric groups

Piotr Śniady

University of Wrocław

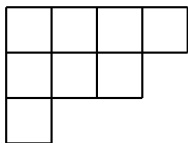
Outline

- 1 Problem: asymptotics of characters of symmetric groups
- 2 Generalized Frobenius formula
- 3 Upper bounds for characters of symmetric groups

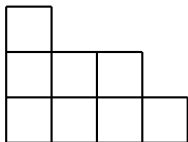
Outline

- 1 Problem: asymptotics of characters of symmetric groups
 - Murnaghan–Nakayama rule
 - Asymptotics of characters
- 2 Generalized Frobenius formula
- 3 Upper bounds for characters of symmetric groups

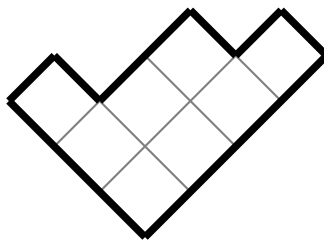
Russian convention for Young diagrams



English convention



French convention

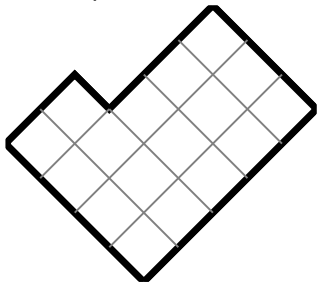


Russian convention

Characters of symmetric groups

Irreducible representations ρ^λ of S_n are indexed by **Young diagrams with n boxes**. For a given Young diagram λ and permutation $\pi \in S_n$, what is the value of the **unnormalized character** $\text{Tr } \rho^\lambda(\pi)$?

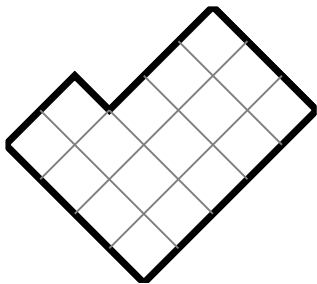
Example:



$$\pi = (1, 2, 3, 4)(5, 6, 7, 8) \times \\ (9, 10, 11, 12)(13, 14, 15, 16, 17) = 4^3 5^1$$

Murnaghan–Nakayama rule

Let l_1, \dots, l_k be the lengths of the cycles of π . In order to compute the character $\text{Tr } \rho^\lambda(\pi)$ we need to consider all decompositions of λ into strips of lengths l_1, \dots, l_k . For each strip we get a factor $(-1)^{\text{height}}$...



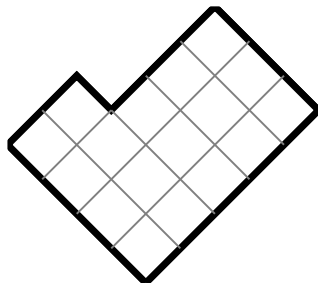
$$\begin{aligned}\pi &= 4^3 5^1 \\ \text{contribution} &= \\ &(-1)^2 \times (-1)^1 \times (-1)^1 \times (-1)^2\end{aligned}$$

The character is equal to the sum of the contributions over all decompositions.

Murnaghan–Nakayama rule

Let l_1, \dots, l_k be the lengths of the cycles of π . In order to compute the character $\text{Tr } \rho^\lambda(\pi)$ we need to consider all decompositions of λ into strips of lengths l_1, \dots, l_k .

For each strip we get a factor $(-1)^{\text{height}}$...



$$\pi = 4^3 5^1$$

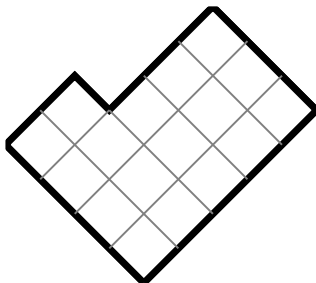
contribution =

$$(-1)^2 \times (-1)^1 \times (-1)^1 \times (-1)^2$$

The character is equal to the sum of the contributions over all decompositions.

Murnaghan–Nakayama rule

Let l_1, \dots, l_k be the lengths of the cycles of π . In order to compute the character $\text{Tr } \rho^\lambda(\pi)$ we need to consider all decompositions of λ into strips of lengths l_1, \dots, l_k . For each strip we get a factor $(-1)^{\text{height}}$...

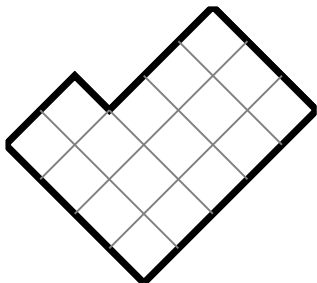


$$\begin{aligned}\pi &= 4^3 5^1 \\ \text{contribution} &= \\ &(-1)^2 \times (-1)^1 \times (-1)^1 \times (-1)^2\end{aligned}$$

The character is equal to the sum of the contributions over all decompositions.

Murnaghan–Nakayama rule

Let l_1, \dots, l_k be the lengths of the cycles of π . In order to compute the character $\text{Tr } \rho^\lambda(\pi)$ we need to consider all decompositions of λ into strips of lengths l_1, \dots, l_k . For each strip we get a factor $(-1)^{\text{height}}$...

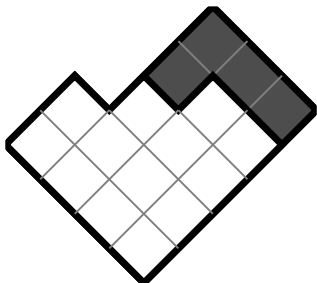


$$\begin{aligned}\pi &= 4^3 5^1 \\ \text{contribution} &= \\ &(-1)^2 \times (-1)^1 \times (-1)^1 \times (-1)^2\end{aligned}$$

The character is equal to the sum of the contributions over all decompositions.

Murnaghan–Nakayama rule

Let l_1, \dots, l_k be the lengths of the cycles of π . In order to compute the character $\text{Tr } \rho^\lambda(\pi)$ we need to consider all decompositions of λ into strips of lengths l_1, \dots, l_k . For each strip we get a factor $(-1)^{\text{height}}$...

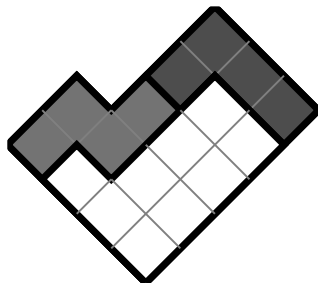


$$\begin{aligned}\pi &= 4^3 5^1 \\ \text{contribution} &= \\ &(-1)^2 \times (-1)^1 \times (-1)^1 \times (-1)^2\end{aligned}$$

The character is equal to the sum of the contributions over all decompositions.

Murnaghan–Nakayama rule

Let l_1, \dots, l_k be the lengths of the cycles of π . In order to compute the character $\text{Tr } \rho^\lambda(\pi)$ we need to consider all decompositions of λ into strips of lengths l_1, \dots, l_k . For each strip we get a factor $(-1)^{\text{height}}$...

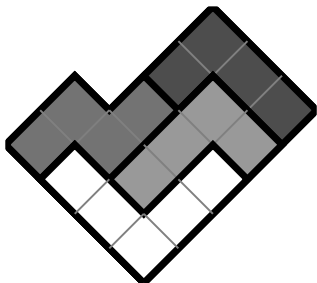


$$\begin{aligned}\pi &= 4^3 5^1 \\ \text{contribution} &= \\ &(-1)^2 \times (-1)^1 \times (-1)^1 \times (-1)^2\end{aligned}$$

The character is equal to the sum of the contributions over all decompositions.

Murnaghan–Nakayama rule

Let l_1, \dots, l_k be the lengths of the cycles of π . In order to compute the character $\text{Tr } \rho^\lambda(\pi)$ we need to consider all decompositions of λ into strips of lengths l_1, \dots, l_k . For each strip we get a factor $(-1)^{\text{height}}$...

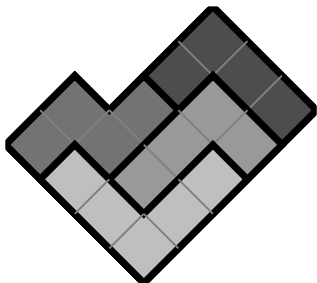


$$\begin{aligned}\pi &= 4^3 5^1 \\ \text{contribution} &= \\ &(-1)^2 \times (-1)^1 \times (-1)^1 \times (-1)^2\end{aligned}$$

The character is equal to the sum of the contributions over all decompositions.

Murnaghan–Nakayama rule

Let l_1, \dots, l_k be the lengths of the cycles of π . In order to compute the character $\text{Tr } \rho^\lambda(\pi)$ we need to consider all decompositions of λ into strips of lengths l_1, \dots, l_k . For each strip we get a factor $(-1)^{\text{height}}$...

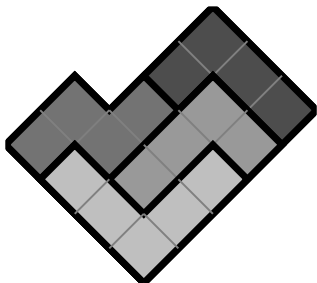


$$\begin{aligned}\pi &= 4^3 5^1 \\ \text{contribution} &= \\ &(-1)^2 \times (-1)^1 \times (-1)^1 \times (-1)^2\end{aligned}$$

The character is equal to the sum of the contributions over all decompositions.

Murnaghan–Nakayama rule

Let l_1, \dots, l_k be the lengths of the cycles of π . In order to compute the character $\text{Tr } \rho^\lambda(\pi)$ we need to consider all decompositions of λ into strips of lengths l_1, \dots, l_k . For each strip we get a factor $(-1)^{\text{height}}$...



$$\begin{aligned}\pi &= 4^3 5^1 \\ \text{contribution} &= \\ &(-1)^2 \times (-1)^1 \times (-1)^1 \times (-1)^2\end{aligned}$$

The character is equal to the sum of the contributions over all decompositions.

Asymptotic questions

We would like to study asymptotic questions: how big is the **character**

$$\chi^\lambda(\pi) = \frac{\text{Tr } \rho^\lambda(\pi)}{\text{Tr } \rho^\lambda(e)}$$

of the symmetric group S_n in the limit as $n \rightarrow \infty$. Alternatively, how big are **normalized characters**

$$\Sigma_{k_1, \dots, k_l} = \frac{\text{Tr } \rho^\lambda(k_1, \dots, k_l, 1^{n-k_1-\dots-k_l})}{\text{Tr } \rho^\lambda(e)} (n)_{k_1+\dots+k_l},$$

where $(k_1, \dots, k_l, 1^{n-k_1-\dots-k_l})$ is a permutation with a given cycle structure and $(n)_k = \frac{n!}{(n-k)!} = n(n-1)\cdots(n-k+1)$ denotes the falling power.

Murnaghan–Nakayama rule does not tell us anything useful.

Asymptotic questions

We would like to study asymptotic questions: how big is the **character**

$$\chi^\lambda(\pi) = \frac{\text{Tr } \rho^\lambda(\pi)}{\text{Tr } \rho^\lambda(e)}$$

of the symmetric group S_n in the limit as $n \rightarrow \infty$. Alternatively, how big are **normalized characters**

$$\Sigma_{k_1, \dots, k_l} = \frac{\text{Tr } \rho^\lambda(k_1, \dots, k_l, 1^{n-k_1-\dots-k_l})}{\text{Tr } \rho^\lambda(e)} (n)_{k_1+\dots+k_l},$$

where $(k_1, \dots, k_l, 1^{n-k_1-\dots-k_l})$ is a permutation with a given cycle structure and $(n)_k = \frac{n!}{(n-k)!} = n(n-1)\cdots(n-k+1)$ denotes the falling power.

Murnaghan–Nakayama rule does not tell us anything useful.

Asymptotic questions

We would like to study asymptotic questions: how big is the **character**

$$\chi^\lambda(\pi) = \frac{\text{Tr } \rho^\lambda(\pi)}{\text{Tr } \rho^\lambda(e)}$$

of the symmetric group S_n in the limit as $n \rightarrow \infty$. Alternatively, how big are **normalized characters**

$$\Sigma_{k_1, \dots, k_l} = \frac{\text{Tr } \rho^\lambda(k_1, \dots, k_l, 1^{n-k_1-\dots-k_l})}{\text{Tr } \rho^\lambda(e)} (n)_{k_1+\dots+k_l},$$

where $(k_1, \dots, k_l, 1^{n-k_1-\dots-k_l})$ is a permutation with a given cycle structure and $(n)_k = \frac{n!}{(n-k)!} = n(n-1)\cdots(n-k+1)$ denotes the falling power.

Murnaghan–Nakayama rule does not tell us anything useful.

Asymptotic questions

We would like to study asymptotic questions: how big is the **character**

$$\chi^\lambda(\pi) = \frac{\text{Tr } \rho^\lambda(\pi)}{\text{Tr } \rho^\lambda(e)}$$

of the symmetric group S_n in the limit as $n \rightarrow \infty$. Alternatively, how big are **normalized characters**

$$\Sigma_{k_1, \dots, k_l} = \frac{\text{Tr } \rho^\lambda(k_1, \dots, k_l, 1^{n-k_1-\dots-k_l})}{\text{Tr } \rho^\lambda(e)} (n)_{k_1+\dots+k_l},$$

where $(k_1, \dots, k_l, 1^{n-k_1-\dots-k_l})$ is a permutation with a given cycle structure and $(n)_k = \frac{n!}{(n-k)!} = n(n-1)\cdots(n-k+1)$ denotes the falling power.

Murnaghan–Nakayama rule does not tell us anything useful.

Balanced Young diagrams

We assume that λ is a **balanced diagram**, i.e. it has at most $c\sqrt{n}$ rows and columns, where n is the number of boxes.

Kerov, Biane, . . . proved that for some constant d

$$|\chi^\lambda(\pi)| < \left(\frac{d}{\sqrt{n}}\right)^{|\pi|}$$

if $|\pi|$ is bounded. Is the above inequality true for general $|\pi|$?

Motivations:

- random walks on symmetric group S_n (Diaconis and Shahshahani),
- quantum computations (Moore and Russell).

Balanced Young diagrams

We assume that λ is a **balanced diagram**, i.e. it has at most $c\sqrt{n}$ rows and columns, where n is the number of boxes.

Kerov, Biane, . . . proved that for some constant d

$$|\chi^\lambda(\pi)| < \left(\frac{d}{\sqrt{n}}\right)^{|\pi|}$$

if $|\pi|$ is bounded. Is the above inequality true for general $|\pi|$?

Motivations:

- random walks on symmetric group S_n (Diaconis and Shahshahani),
- quantum computations (Moore and Russell).

Balanced Young diagrams

We assume that λ is a **balanced diagram**, i.e. it has at most $c\sqrt{n}$ rows and columns, where n is the number of boxes.

Kerov, Biane, . . . proved that for some constant d

$$|\chi^\lambda(\pi)| < \left(\frac{d}{\sqrt{n}}\right)^{|\pi|}$$

if $|\pi|$ is bounded. **Is the above inequality true for general $|\pi|$?**

Motivations:

- random walks on symmetric group S_n (Diaconis and Shahshahani),
- quantum computations (Moore and Russell).

Balanced Young diagrams

We assume that λ is a **balanced diagram**, i.e. it has at most $c\sqrt{n}$ rows and columns, where n is the number of boxes.

Kerov, Biane, . . . proved that for some constant d

$$|\chi^\lambda(\pi)| < \left(\frac{d}{\sqrt{n}}\right)^{|\pi|}$$

if $|\pi|$ is bounded. **Is the above inequality true for general $|\pi|$?**

Motivations:

- random walks on symmetric group S_n (Diaconis and Shahshahani),
- quantum computations (Moore and Russell).

Balanced Young diagrams

We assume that λ is a **balanced diagram**, i.e. it has at most $c\sqrt{n}$ rows and columns, where n is the number of boxes.

Kerov, Biane, . . . proved that for some constant d

$$|\chi^\lambda(\pi)| < \left(\frac{d}{\sqrt{n}}\right)^{|\pi|}$$

if $|\pi|$ is bounded. **Is the above inequality true for general $|\pi|$?**

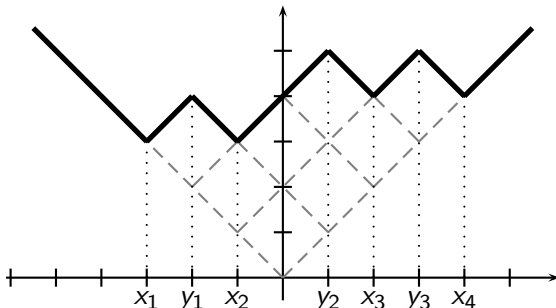
Motivations:

- random walks on symmetric group S_n (Diaconis and Shahshahani),
- quantum computations (Moore and Russell).

Outline

- 1 Problem: asymptotics of characters of symmetric groups
- 2 Generalized Frobenius formula
 - How to encode a Young diagram?
 - Generalized Frobenius formula
- 3 Upper bounds for characters of symmetric groups

How to encode a Young diagram?



Young diagram can be encoded by the sequences of local minima (x_1, \dots, x_s) and maxima (y_1, \dots, y_{s-1}) . We define a function

$$H(z) = \frac{(z - x_1) \cdots (z - x_s)}{(z - y_1) \cdots (z - y_{s-1})}.$$

Why is $H(z)$ so nice?

- $H(z)$ is easily determined by the shape of Young diagram λ ,
good for asymptotic questions;
- $H(z)$ is related to the *transition measure* μ^λ of λ , namely
 $G(z) = \frac{1}{H(z)}$ is the Cauchy transform of μ^λ ;
- **the coefficients in the expansion**

$$H(z) = z - B_2 z^{-1} - B_3 z^{-2} - \dots$$

have a nice interpretation as *Boolean cumulants* of μ^λ .
Boolean cumulants describe nicely the shape of λ .

Why is $H(z)$ so nice?

- $H(z)$ is easily determined by the shape of Young diagram λ ,
good for asymptotic questions;
- $H(z)$ is related to the *transition measure* μ^λ of λ , namely
 $G(z) = \frac{1}{H(z)}$ is the Cauchy transform of μ^λ ;
- the coefficients in the expansion

$$H(z) = z - B_2 z^{-1} - B_3 z^{-2} - \dots$$

have a nice interpretation as *Boolean cumulants* of μ^λ .
Boolean cumulants describe nicely the shape of λ .

Why is $H(z)$ so nice?

- $H(z)$ is easily determined by the shape of Young diagram λ ,
good for asymptotic questions;
- $H(z)$ is related to the *transition measure* μ^λ of λ , namely
 $G(z) = \frac{1}{H(z)}$ is the Cauchy transform of μ^λ ;
- the coefficients in the expansion

$$H(z) = z - B_2 z^{-1} - B_3 z^{-2} - \dots$$

have a nice interpretation as *Boolean cumulants* of μ^λ .
Boolean cumulants describe nicely the shape of λ .

The usual Frobenius formula

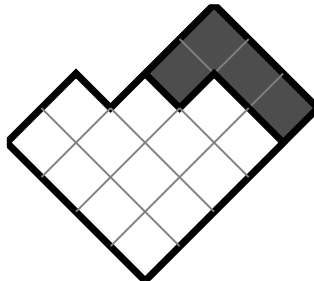
Theorem

$$-k \Sigma_k = [z^{-1}] \left[H(z)H(z-1) \cdots H(z-k+1) \right].$$

The usual Frobenius formula

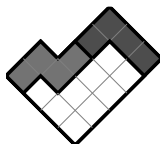
Theorem

$$-k \Sigma_k = [z^{-1}] \left[H(z)H(z-1) \cdots H(z-k+1) \right].$$



Theorem (Generalized Frobenius formula, simplest case)

$$k_1 k_2 \sum_{k_1, k_2} = [z_1^{-1}][z_2^{-1}] \left[H(z_1)H(z_1 - 1) \cdots H(z_1 - k_1 + 1) \times \right. \\ \left. H(z_2)H(z_2 - 1) \cdots H(z_2 - k_2 + 1) \times \right. \\ \left. \frac{(z_1 - z_2)(z_1 - z_2 + k_2 - k_1)}{(z_1 - z_2 - k_1)(z_1 - z_2 + k_2)} \right].$$



Theorem (Generalized Frobenius formula)

$$(-1)^l k_1 \cdots k_l \sum_{k_1, \dots, k_l} =$$
$$[z_1^{-1}] \cdots [z_l^{-1}] \left[\left(\prod_{1 \leq r \leq l} H(z_r) H(z_r - 1) \cdots H(z_r - k_r + 1) \right) \prod_{1 \leq s < t \leq l} \frac{(z_s - z_t)(z_s - z_t + k_t - k_s)}{(z_s - z_t - k_s)(z_s - z_t + k_t)} \right].$$

Main advantage: direct expression for characters in terms of Boolean cumulants.

Idea of the proof: encrypted Murnaghan–Nakayama rule.

Theorem (Generalized Frobenius formula)

$$(-1)^l k_1 \cdots k_l \sum_{k_1, \dots, k_l} =$$
$$[z_1^{-1}] \cdots [z_l^{-1}] \left[\left(\prod_{1 \leq r \leq l} H(z_r) H(z_r - 1) \cdots H(z_r - k_r + 1) \right) \prod_{1 \leq s < t \leq l} \frac{(z_s - z_t)(z_s - z_t + k_t - k_s)}{(z_s - z_t - k_s)(z_s - z_t + k_t)} \right].$$

Main advantage: direct expression for characters in terms of Boolean cumulants.

Idea of the proof: encrypted Murnaghan–Nakayama rule.

Theorem (Generalized Frobenius formula)

$$(-1)^l k_1 \cdots k_l \sum_{k_1, \dots, k_l} =$$
$$[z_1^{-1}] \cdots [z_l^{-1}] \left[\left(\prod_{1 \leq r \leq l} H(z_r) H(z_r - 1) \cdots H(z_r - k_r + 1) \right) \prod_{1 \leq s < t \leq l} \frac{(z_s - z_t)(z_s - z_t + k_t - k_s)}{(z_s - z_t - k_s)(z_s - z_t + k_t)} \right].$$

Main advantage: direct expression for characters in terms of Boolean cumulants.

Idea of the proof: encrypted Murnaghan–Nakayama rule.

Outline

- 1 Problem: asymptotics of characters of symmetric groups
- 2 Generalized Frobenius formula
- 3 Upper bounds for characters of symmetric groups
 - Shifted Boolean cumulants
 - Positivity of character polynomials
 - Upper bounds for characters

Shifted Boolean cumulants

Let us fix some constant ζ . The coefficients of the expansion

$$H(z + \zeta) = z + \zeta + \tilde{B}_1 + \tilde{B}_2 z^{-1} + \tilde{B}_3 z^{-2} + \dots$$

are called *shifted Boolean cumulants*. For $\zeta = 0$ they coincide (up to the sign change) with the usual Boolean cumulants.

Shifted Boolean cumulants

Let us fix some constant ζ . The coefficients of the expansion

$$H(z + \zeta) = z + \zeta + \tilde{B}_1 + \tilde{B}_2 z^{-1} + \tilde{B}_3 z^{-2} + \dots$$

are called *shifted Boolean cumulants*. For $\zeta = 0$ they coincide (up to the sign change) with the usual Boolean cumulants.

Positivity of character polynomials

Theorem

Let integers $1 \leq k_1, \dots, k_l \leq \zeta$ be given.

Then the normalized character $(-1)^{|\Sigma_{k_1, \dots, k_l}|}$ is a polynomial in shifted Boolean cumulants $\tilde{B}_2, \tilde{B}_3, \dots$ with non-negative coefficients.

Looks like Kerov conjecture for *free* cumulants.

Positivity of character polynomials

Theorem

Let integers $1 \leq k_1, \dots, k_l \leq \zeta$ be given.

Then the normalized character $(-1)^{|\Sigma_{k_1, \dots, k_l}|}$ is a polynomial in shifted Boolean cumulants $\tilde{B}_2, \tilde{B}_3, \dots$ with non-negative coefficients.

Looks like Kerov conjecture for *free* cumulants.

Upper bounds for characters

Corollary

If λ and ν are Young diagrams such that $|\tilde{B}_i^\lambda| < \tilde{B}_i^\nu$ then

$$|\Sigma_{k_1, \dots, k_l}^\lambda| < |\Sigma_{k_1, \dots, k_l}^\nu|.$$

Now if we want to prove upper bounds for characters it is enough to prove them for some nice Young diagram ν .

For example, for ν we may take rectangular Young diagrams for which characters were calculated by Stanley.

Upper bounds for characters

Corollary

If λ and ν are Young diagrams such that $|\tilde{B}_i^\lambda| < \tilde{B}_i^\nu$ then

$$|\Sigma_{k_1, \dots, k_l}^\lambda| < |\Sigma_{k_1, \dots, k_l}^\nu|.$$

Now if we want to prove upper bounds for characters it is enough to prove them for some nice Young diagram ν .

For example, for ν we may take rectangular Young diagrams for which characters were calculated by Stanley.

Upper bounds for characters

Corollary

If λ and ν are Young diagrams such that $|\tilde{B}_i^\lambda| < \tilde{B}_i^\nu$ then

$$|\Sigma_{k_1, \dots, k_l}^\lambda| < |\Sigma_{k_1, \dots, k_l}^\nu|.$$

Now if we want to prove upper bounds for characters it is enough to prove them for some nice Young diagram ν .

For example, for ν we may take rectangular Young diagrams for which characters were calculated by Stanley.

The main inequality

Theorem

For every c there exists a constant d such that if a Young diagram with n boxes has at most $c\sqrt{n}$ rows and columns then

$$|\chi^\lambda(\pi)| < \left(\frac{d}{\sqrt{n}} \right)^{|\pi|}.$$

This talk was about an **application of power series to representation theory**. More such applications are around!



Amarpreet Rattan, Piotr Śniady.

Generalized Frobenius formula and asymptotics of characters of symmetric groups.

In preparation

The main inequality

Theorem

For every c there exists a constant d such that if a Young diagram with n boxes has at most $c\sqrt{n}$ rows and columns then

$$|\chi^\lambda(\pi)| < \left(\frac{d}{\sqrt{n}} \right)^{|\pi|}.$$

This talk was about an **application of power series to representation theory**. More such applications are around!



Amarpreet Rattan, Piotr Śniady.

Generalized Frobenius formula and asymptotics of characters of symmetric groups.

In preparation

The main inequality

Theorem

For every c there exists a constant d such that if a Young diagram with n boxes has at most $c\sqrt{n}$ rows and columns then

$$|\chi^\lambda(\pi)| < \left(\frac{d}{\sqrt{n}} \right)^{|\pi|}.$$

This talk was about an **application of power series to representation theory**. More such applications are around!



Amarpreet Rattan, Piotr Śniady.

Generalized Frobenius formula and asymptotics of characters of symmetric groups.

In preparation

The main inequality

Theorem

For every c there exists a constant d such that if a Young diagram with n boxes has at most $c\sqrt{n}$ rows and columns then

$$|\chi^\lambda(\pi)| < \left(\frac{d}{\sqrt{n}}\right)^{|\pi|}.$$

This talk was about an **application of power series to representation theory**. More such applications are around!



Amarpreet Rattan, Piotr Śniady.

Generalized Frobenius formula and asymptotics of characters of symmetric groups.

In preparation